OIPE 408

ATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q64360

Ryuichi MORISHITA, et al.

Group Art Unit: 1632

Appln. No.: 09/856,374

Examiner: Qian Janice LI

Confirmation No.: 8301

U.S. Patent No. 6,936,594

Filed: May 21, 2001

Issued: August 30, 2005

For: GE

GENE THERAPY FOR CEREBROVASCULAR DISORDERS

PETITION UNDER 37 C.F.R. § 1.183 REQUESTING THAT THE REQUIREMENTS OF 37 C.F.R. § 3.81 BE WAIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a request that 37 C.F.R. § 3.81(a) be waived to permit the correct name of the assignee to be provided in the above-identified U.S. Patent No. 6,936,594, issued August 30, 2005. The Assignee's name appears on the Request for Certificate of Correction (and Certificate of Correction document) filed concurrently herewith.

The inclusion of the name of Ryuichi MORISHITA as co-assignee on the PTOL-85B form submitted with the Request for Application of Previously Paid Issue Fee to be Applied Towards the Notice of Allowance and Fee(s) Due on May 9, 2005, was inadvertent.

Applicants identify the correct name of the assignee as being ANGES MG, INC. The assignment of the subject matter of above-identified patent from the inventors to ANGES MG, INC. was recorded at the USPTO on April 25, 2003, at Reel 013995, Frame 0032. A copy of the Assignment document is attached herewith.

10/20/2005 SZEWDIE1 00000035 194880 6936594

02 FC:1462

270.00 DA

130.00 OP

PETITION UNDER 37 C.F.R. § 1.183 REQUESTING THAT THE REQUIREMENTS OF 37 C.F.R. § 3.81 BE WAIVED U.S. Patent No. 6,936,594

Also attached hereto is the petition fee of \$130.00 required under 37 C.F.R. § 1.17(h). Applicants respectfully request that this Petition be granted.

As indicated above, Applicant submits herewith a check in the amount of \$130.00 to cover the fee associated with this Petition. Please charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this Petition is enclosed.

Applicants respectfully request that this Petition be granted.

Respectfully submitted,

Registration No. 56,704

SUGHRUE MION, PLLC Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373
CUSTOMER NUMBER

Date: October 19, 2005



SEPTEMBER 25, 2003

PTAS

SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. WASHINGTON, DC 20037

Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/25/2003

REEL/FRAME: 013995/0032

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MORISHITA, RYUICHI 🗸

DOC DATE: 04/03/2003

ASSIGNEE:

ANGES MG, INC.

4-2, SHINSENRI HIGASHI-MACHI 1-CHOME, TOYONAKA-SHI

OSAKA, JAPAN

SERIAL NUMBER: 09856374

PATENT NUMBER:

FILING DATE: 05/21/2001

ISSUE DATE:

MARGARET LASALLE, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

OT 1 9 2005

04-30-2003

O64360

Form PTO-1595 (modified) RECORD. (Rev. 03/01)	U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
OMB No. 0651-0027 1 75-09 P	400424117
To the Honorable Commissioner of Patents and 1. Name of conveying party(ies):	2. Name and address of receiving party(ies):
Ryuichi MORISHITA	AnGes MG, Inc.
13,550	4-2, Shinsenri Higashi-machi
(APR 2 5 2003)	1-chome, Toyonaka-shi
AFR 2 5 2003	Osaka, Japan
	Osaka, Japan
TRADEMARK	
Additional name(s) of conveying party(ies) attached? ☐ Yes ☑ No	
3. Nature of conveyance:	
☐ Assignment ☐ Merger	
☐ Security Agreement ☐ Change of Name	
Other	
Execution Date: April 3, 2003	Additional name(s) & address(es) attached? ☐ Yes ☑ No
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application, the execution date of the application is:	
A. Patent Application No.(s)	B. Patent No.(s)
09/856,374	
Additional numbers attached? ☐ Yes ☑ No	
5. Name and address of party to whom correspondence	6. Total number of applications and patents involved:
concerning document should be mailed: SUGHRUE MION, PLLC	1 2 Th + 15 (07 CTP) 2 11)
WASHINGTON OFFICE	7. Total fee (37 CFR 3.41): \$40.00 ☑ Enclosed.
HARMANIA HIKA HIKA HIKA	☐ Authorized to be charged to Deposit Account No. 19-4880.
	The USPTO is directed and authorized to charge all required
23373	fees, except for the Issue Fee and the Publication Fee, to Deposit
PATENT TRADEMARK OFFICE	Account No. 19-4880. Please also credit any overpayments to said
	Deposit Account.
	8. Deposit Account Number: 19-4880
	(Attach duplicate copy of this page if paying by deposit account)
DO NOT USE THIS SPACE	
9. Statement and signature.	
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of	
the original document.	APD n ~
Suran / Mack	APR 2 5 2003
Susan J. Mack Reg. No.	30,951 Date
Total number of pages including cover sheet, attachments, and documents: 2	
Mail documents to be recorded with required cover sheet information to:	
Commissioner of Patents & Trademarks Box Assignment	
Washington, D.C. 20231	

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)1 FC:8021

40.00 0

Docket No. Q64360 For Non-U.S. Clients

Assignment

Whereas, I/We, Ryuichi MORISHITA, hereinafter called assignors, have invented certain improvements in GENE THERAPY FOR CEREBROVASCULAR DISORDERS and executed an application for Letters Patent of the United States of America therefor on April 19, 2001; and

Whereas, AnGes MG, Inc. of 4-2, Shinsenri Higashi-machi, 1-chome, Toyonaka-shi, Osaka, Japan (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors and assigns, the entire right, title and interest in the application and the invention disclosed therein for the United States of America, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director - U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we will execute without further consideration all papers deemed necessary by the assignee in connection with the United States application when called upon to do so by the assignee.

I/We hereby authorize and request our attorneys SUGHRUE MION, PLLC of 2100 Pennsylvania Avenue, NW, Washington, DC 20037-3213 to insert here in parentheses (Application number 09/856,374 and Confirmation number 8301, filed May 21, 2001) the application number and filing date of said application when known.

Date:

2003 / 4 / 3 s/Ryuichi MORISHITA

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

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Ryuichi MORISHITA, et al.

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Appln. No.: 09/856,374

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Confirmation No.: 8301

Patent No.: 6,936,594

Filed: May 21, 2001

Issue Date: August 30, 2005

For:

GENE THERAPY FOR CEREBROVASCULAR DISORDERS

REQUEST FOR CERTIFICATE OF CORRECTION

ATTN: Certificate of Correction Branch

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 C.F.R. § 1.323, please enter the attached Certificate of Correction.

Since the errors noted are believed to be the Applicant's responsibility, a check for the statutory fee of \$100.00 is attached. The USPTO is directed and authorized to charge all required fees to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this sheet is enclosed.

In view of the foregoing, issuance of the Certificate of Correction is respectfully requested.

10/20/2005 SZEWDIE1 00000035 194880

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6936594

01 FC:1811

100.00 DP

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: October 19, 2005

Respectfully submitted,

Lisa E. Stahl

Registration No. 56,704

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO:

6,936,594

DATED:

August 30, 2005

INVENTOR(S):

Ryuichi MORISHITA , Toshio OGIHARA

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

(73) Assignee: AnGes MG, Inc., Osaka (JP)

MAILING ADDRESS OF SENDER: SUGHRUE MION, PLLC

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

PATENT NO. 6,936,594

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